

TONBRIDGE & MALLING BOROUGH COUNCIL

AUDIT COMMITTEE

8 April 2013

Report of the Director of Finance and Transformation

Part 1- Public

Matters for Information

1 REVIEW OF OPERATIONAL RISK REGISTERS – DECEMBER 2012

This report serves to update Members on the results of a review of the Operational Risk Registers carried out by Services in December 2012.

1.1 Background

- 1.1.1 Reviews are carried out on a 6 monthly basis, the objective being to ensure that management and Members are provided with information concerning the overall risk status of the Council.
- 1.1.2 Members will note from the graph at **[Annex 1]** that the levels of risk have altered. The number of risks reported in the high category has increased from 5 to 9 and these risks are noted at **[Annex 2]** to this report.
- 1.1.3 As mentioned in previous reports to this Committee the Council has a risk based approach to auditing and therefore any risks identified in the high category will be considered as part of the Internal Audit Plan to ensure that action has been taken to minimise the risk to an acceptable level.

	Low	Medium	High
June 2010	38%	60%	2%
Dec' 2010	38%	61%	1%
June 2011	37%	62%	1%
Dec' 2011	37%	62%	1%
June 2012	37%	62%	1%
Dec' 2012	37%	62%	1%

1.2 Legal Implications

- 1.2.1 There are no specific legal implications relating to the maintenance of risk registers. However the Accounts & Audit Regulations place an implied requirement for this procedure.

1.3 Risk Assessment

- 1.3.1 The maintenance of up to date and relevant risk registers is seen as being an important feature of the Council's risk management process.

1.4 Equality Impact Assessment

- 1.4.1 Not applicable

1.5 Policy Considerations

- 1.5.1 Business Continuity/Resilience

Background papers:

contact: Brian Courtney

Nil

Sharon Shelton

Director of Finance and Transformation

Screening for equality impacts:		
Question	Answer	Explanation of impacts
a. Does the decision being made or recommended through this paper have potential to cause adverse impact or discriminate against different groups in the community?	N/A	
b. Does the decision being made or recommended through this paper make a positive contribution to promoting equality?	N/A	
c. What steps are you taking to mitigate, reduce, avoid or minimise the impacts identified above?		

In submitting this report, the Chief Officer doing so is confirming that they have given due regard to the equality impacts of the decision being considered, as noted in the table above.